SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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Bullwinkle D (Last) EASTMAN KO	ASTMAN KODAK COMPANY 43 STATE STREET		2. Issuer Name and Ticker or Trading Symbol <u>EASTMAN KODAK CO</u> [KODK] 3. Date of Earliest Transaction (Month/Day/Year) 09/03/2017		tionship of Reporting Person(s) to Issuer all applicable) Director 10% Owner Officer (give title Other (specify below) below) CFO and Senior Vice President									
			4. If Amendment, Date of Original Filed (Month/Day/Year)	t, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicab										
(Street) ROCHESTER (City)	NY (State)	14650 (Zip)		Line) X	Form filed by One Reporting Person Form filed by More than One Reporting Person									
	Ta	able I - Non-Deriva	ative Securities Acquired, Disposed of, or Benefi	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock, par value \$.01	09/03/2017		М		644	A	\$0 ⁽¹⁾	18,074	D	
Common Stock, par value \$.01	09/03/2017		F		239 ⁽²⁾	D	\$7.8	17,835	D	
Common Stock, par value \$.01	09/03/2017		М		1,109	A	\$0 ⁽⁴⁾	18,944	D	
Common Stock, par value \$.01	09/03/2017		F		410 ⁽²⁾	D	\$7.8	18,534	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	\$0 ⁽¹⁾	09/03/2017		М			644	(1)	09/03/2017	Common Stock, par value \$.01	644	\$0	0	D	
Restricted Stock Units	\$0 ⁽³⁾							(3)	12/15/2017	Common Stock, par value \$.01	647		647	D	
Restricted Stock Units	\$0 ⁽⁴⁾	09/03/2017		М			1,109	(4)	09/03/2018	Common Stock, par value \$.01	1,109	\$0	1,111	D	
Restricted Stock Units	\$0 ⁽⁵⁾							(5)	07/01/2019	Common Stock, par value \$.01	12,316		12,316	D	
Stock Option (Right to Buy)	\$23.78							(6)	09/02/2021	Common Stock, par value \$.01	5,805 ⁽⁶⁾		5,805 ⁽⁶⁾	D	
Stock Option (Right to Buy)	\$20.25							(7)	12/14/2021	Common Stock, par value \$.01	5,349 ⁽⁷⁾		5,349 ⁽⁷⁾	D	
Stock Option (Right to Buy)	\$13.76							(8)	09/02/2022	Common Stock, par value \$.01	7,965 ⁽⁸⁾		7,965 ⁽⁸⁾	D	
Stock Option (Right to Buy)	\$16.24							(9)	06/30/2023	Common Stock, par value \$.01	45,942 ⁽⁹⁾		45,942 ⁽⁹⁾	D	

Explanation of Responses:

1. These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 9/3/2014 grant date.

2. Shares withheld to cover tax withholding obligations on the vesting of restricted stock units.

- 3. These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 12/15/2014 grant date.
- 4. These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 9/3/2015 grant date.
- 5. These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 7/1/2016 grant date.
- 6. This option vests one-third on each of the first three anniversaries of the 9/3/2014 grant date.
- 7. This option vests one-third on each of the first three anniversaries of the 12/15/2014 grant date.
- 8. This option vests one-third on each of the first three anniversaries of the 9/3/2015 grant date.
- 9. This option vests one-third on each of the first three anniversaries of the 7/1/2016 grant date.

Remarks:

/s/ Sharon E. Underberg, Attorney-in-fact for David E. **Bullwinkle**

09/06/2017

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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