SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* 2. Date of Event Requiring Statement (Month/Day/Year) 12/03/2019 | | | nent | 3. Issuer Name and Ticker or Trading Symbol EASTMAN KODAK CO [KODK] | | | | | | |
|---|----------------------|----------|---------------------|---|--|---|------------------------------------|--|---|--|
| (Last) (Firs 160 BROADWAY | t) (Middle) | | _, 55, 2010 | | 4. Relationship of Reporting Pers (Check all applicable) Director X Officer (give title | ., | er (Mo | nth/Day/Year) | ate of Original Filed t/Group Filing (Check | |
| (Street) NEW YORK NY | 10038 | | | | below) | below) | | licable Line) Form filed b | by One Reporting Person by More than One | |
| (City) (Sta | te) (Zip) | | | Dorivati | vo Socuritico Ponoficial | hy Owned | | | | |
| Table I - Non-Deriva 1. Title of Security (Instr. 4) | | | | 2. | Amount of Securities eneficially Owned (Instr. 4) | 3. Ownersh Form: Direct or Indirect (Instr. 5) | cṫ (D) (Insti | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
| Common Stock, par | r value \$.01 | | | | 5,044,023 ⁽¹⁾ | D | | | | |
| Common Stock, par value \$.01 | | | | | 1,261,005 ⁽²⁾ | I Ow | | wned by KF Investors LLC | | |
| Common Stock, par | r value \$.01 | | | | 784,935 ⁽³⁾ | I Ov | | Wined by Momar Corporation | | |
| Common Stock, par value \$.01 | | | | | 7 , 598 ⁽⁴⁾ | I | | | Owned by United Equities Commodities Company | |
| Common Stock, par value \$.01 | | | | | 87,720 ⁽⁵⁾ | I | Owr | Owned by Marneu Holding Company | | |
| Common Stock, par value \$.01 | | | | | 48,875 ⁽⁶⁾ | I O | | Owned by 111 John Realty Corp. | | |
| | | (e.g | | | Securities Beneficially nts, options, convertible | | s) | | | |
| 1. Title of Derivative Security (Instr. 4) 2. Date Exercisable a Expiration Date (Month/Day/Year) | | | ate | d 3. Title and Amount of Securities 4. Underlying Derivative Security (Instr. 4) or Exer | | | | | | |
| | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Price of Derivative Security | Direct (D) or Indirect (I) (Instr. 5) | | |
| 1. Name and Address of <u>K.F. Investors I</u> | | | | | | | | - | - | |
| (Last) 160 BROADWAY | (First) | (Middle) | | | | | | | | |
| (Street) NEW YORK | NY | 10038 | | | | | | | | |
| (City) | (State) | (Zip) | | | | | | | | |
| 1. Name and Address of <u>Fink Joseph</u> | of Reporting Person* | | |] | | | | | | |
| (Last) 160 BROADWAY | (First) | (Middle) | | | | | | | | |
| (Street) NEW YORK | NY | 10038 | | | | | | | | |
| (City) | (State) | (Zip) | | | | | | | | |

Explanation of Responses:

1. These securities are owned directly by K.F. Investors LLC ("KF Investors"), an entity of which Dr. Joseph Fink is a Manager. As such, Dr. Fink has shared voting and dispositive power over the securities held by KF Investors and thus may be deemed to have a beneficial interest in the securities owned by KF Investors for purposes of determining 10% Owner status under Section 16 of the Securities Exchange Act of 1934, as amended. This statement is being filed jointly by KF Investors and Dr. Fink.

2. These securities are owned indirectly by Dr. Fink through KF Investors. Dr. Fink disclaims beneficial ownership of the securities held by KF Investors, except to the extent of his pecuniary interest therein.

3. These securities are owned indirectly by Dr. Fink through Momar Corporation. Dr. Fink disclaims beneficial ownership of the securities held by Momar Corporation, an entity of which Mr. Fink may be deemed to have an ownership interest, except to the extent of his pecuniary interest therein.

4. These securities are owned indirectly by Dr. Fink through United Equities Commodities Company. Dr. Fink disclaims beneficial ownership of the securities held by United Equities Commodities Company, an entity of which Mr. Fink is a general partner, except to the extent of his pecuniary interest therein.

5. These securities are owned indirectly by Joseph Fink through Marneu Holding Company. Dr. Fink disclaims beneficial ownership of the securities held by Marneu Holding Company, an entity of which Mr. Fink is a partner, except to the extent of his pecuniary interest therein.

6. These securities are owned indirectly by Dr. Fink through 111 John Realty Corp. Dr. Fink disclaims beneficial ownership of the securities held by 111 John Realty Corp., an entity of which Mr. Fink may be deemed to have an ownership interest, except to the extent of his pecuniary interest therein.

Remarks:

Exhibit 99.1 (Joint Filer Information) is incorporated herein by reference.

| <u>K.F. Investors LLC By: /s/</u> <u>Philippe D. Katz, Manager</u> | <u>12/12/2019</u> |
|---|-------------------|
| <u>/s/ Joseph Fink</u> | <u>12/12/2019</u> |
| ** Signature of Reporting Person | Date |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Joint Filer Information

| Name of Joint Filer: | Joseph Fink | | | | |
|--|---|--|--|--|--|
| Address of Joint Filer: | 160 Broadway New York, NY 10038 | | | | |
| Relationship of Joint Filer to Issuer: | May be deemed to be a 10% Owner by virtue of being Manager of Designated Filer | | | | |
| Issuer Name and Ticker or Trading Symbol: | Eastman Kodak Company (KODK) | | | | |
| Date of Earliest Transaction Required to be Reported (Month/Day/Year): | 12/03/2019 | | | | |
| Designated Filer: | K.F. Investors LLC | | | | |
| Signature: | | | | | |
| <u>/s/ Joseph Fink</u> Joseph Fink | | | | | |
| Date: December 12, 2019 | | | | | |