| SEC For  |            |               |                   |  | ATE0   |   |  |        |               |  |  |  |   |   |  |  |  |
|--|------------|---------------|-------------------|--|--|---|--|--------|---------------|--|--|--|---|---|--|--|--|
| FORM 4   |            |               | UNIT              | ED 21/                                 | AIES   | SECURITII<br>Washi  | ES A<br>ington, I  |        |               | IGE  |  | 1551UN   | OMB /   | APPROVAL  |  |  |  |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  |            |               |                   |  | EMENT OF CHANGES IN BENEFICIAL OWNERSHIP<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934<br>or Section 30(h) of the Investment Company Act of 1940 |   |  |        |               |  |  |  |   | OMB Number: 3235-028<br>Estimated average burden<br>hours per response: 0 |  |  |  |
| 1. Name and Address of Reporting Person <sup>*</sup><br>Katz Philippe D  |            |               |                   |  | 2. Iss   | uer Name <b>and</b> Tick                                    | ker or T   | rading | Symbol        |  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director X 10% Owner         |  |   |   |  |  |  |
| (Last) (First) (Middle)<br>C/O EASTMAN KODAK COMPANY<br>343 STATE STREET   |            |               |                   |  | 01/08  | te of Earliest Trans<br>8/2021                              |  |        | , ,           |  | Officer (give title Other (specify below)<br>below)<br>6. Individual or Joint/Group Filing (Check Applicable |  |   |   |  |  |  |
| (Street)<br>ROCHE  | STER       | NY            | 14650             |  | =   4. IT A<br>=   | mendment, Date c  | or Origin  |        | a (Month/Day/ | rear)  |  | ne)<br>X Form filed  | d by One Reporti  |   |  |  |  |
| (City)   |            | (State)       | (Zip)             |  |  |   |  |        |               |  |  |  |   |   |  |  |  |
|  |            |               | Table I - N       | 1                                      |  | Securities Ac   | ·  | ed, D  |               |  |  | -  |   |   |  |  |  |
| 1. Title of Security (Instr. 3)  |            |               |                   | 2. Transaction<br>Date<br>(Month/Day/Y |  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8)                        |        | 5)            |  | (A) or<br>. 3, 4 and   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Followin<br>Reported | 6. Ownershi<br>Form: Direc<br>(D) or Indire<br>(I) (Instr. 4)                                   | t Indirect  |  |  |  |
|  |            |               |                   |  |  |   | Code   | v      | Amount        | (A) or<br>(D)  | Price  | Transaction(s)<br>(Instr. 3 and 4)                                       |   |   |  |  |  |
| Common   | Stock, par | r value \$.01 |                   |  |  |   |  |        |               |  |  | 116,368  | D   |   |  |  |  |
| Common   | Stock, par | r value \$.01 |                   |  |  |   |  |        |               |  |  | 2,522,011  | I   | Owned by<br>KF Investor<br>LLC <sup>(1)</sup>                             |  |  |  |
| Common   | Stock, pa  | r value \$.01 |                   |  |  |   |  |        |               |  |  | 1,569,870  | I   | Owned by<br>Momar<br>Corporation  |  |  |  |
| Common   | Stock, pa  | r value \$.01 |                   |  |  |   |  |        |               |  |  | 7,598  | I   | Owned by<br>United<br>Equities<br>Commoditie<br>Company <sup>(3)</sup>    |  |  |  |
| Common Stock, par value \$.01  |            |               |                   |  |  |   |  |        |               |  |  | 87,720   | I   | Owned by<br>Marneu<br>Holding<br>Company <sup>(4)</sup>                   |  |  |  |
| Common   | Stock, pa  | r value \$.01 |                   |  |  |   |  |        |               |  |  | 48,875   | I   | Owned by<br>111 John<br>Realty Corp                                       |  |  |  |
|  |            |               | Table I           |  |  | Securities Acc  |  |        |               |  |  | / Owned  |   | - *   |  |  |  |
| (e.<br>1. Title of<br>Derivative<br>Security<br>(Instr. 3)<br>2.<br>3. Transaction<br>Date<br>(Month/Day/Year)<br>3. Transaction<br>Date<br>(Month/Day/Year)<br>(Month/Day/Year)<br>(Month/Day/Year) |            |               | ed 4.<br>Date, Tr | ansactior<br>ode (Instr                | buts, calls, warrants,<br>ansaction<br>de (Instr.<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5)   |   | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |        |               | e and Amou<br>curities<br>rlying<br>ative Securi<br>3 and 4) | Derivative<br>Security<br>(Instr. 5)   | derivative<br>Securities<br>Beneficially<br>Owned                        | 10. 11. Nat<br>Ownership of Indir<br>Form: Benefi<br>Direct (D) Owner<br>or Indirect (Instr. 4) |   |  |  |  |

| (Instr. 3)                           | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | 8)   |   | Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                       |                     |                    | Derivative Security<br>(Instr. 3 and 4) |  | (Instr. 5) | Beneficially<br>Owned<br>Following<br>Reported | Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Ownership<br>(Instr. 4) |
|--------------------------------------|------------------------------------|------------|------------------|------|---|---|-----------------------|---------------------|--------------------|---|--|------------|--|---|-------------------------|
|                                      |                                    |            |                  | Code | v | (A)   | (D)                   | Date<br>Exercisable | Expiration<br>Date | Title                                   | Amount<br>or<br>Number<br>of<br>Shares |            | Transaction(s)<br>(Instr. 4)                   |   |                         |
| Restricted<br>Stock<br>Units         | \$0 <sup>(6)</sup>                 | 01/08/2021 |                  | D    |   |   | 46,729 <sup>(6)</sup> | 01/08/2021          | 01/08/2021         | Common<br>Stock,<br>par value<br>\$.01  | 46,729                                 | \$0        | 0  | D   |                         |
| Phantom<br>Stock                     | \$0 <sup>(7)</sup>                 | 01/08/2021 |                  | A    |   | 46,729  |                       | (7)                 | (7)                | Common<br>Stock,<br>par value<br>\$.01  | 46,729                                 | \$0        | 46,729   | D   |                         |
| Stock<br>Option<br>(Right to<br>Buy) | \$3.03                             |            |                  |      |   |   |                       | (8)                 | 05/19/2027         | Common<br>Stock,<br>par value<br>\$.01  | 25,297                                 |            | 25,297   | D   |                         |
| Stock<br>Option<br>(Right to<br>Buy) | \$4.53                             |            |                  |      |   |   |                       | (8)                 | 05/19/2027         | Common<br>Stock,<br>par value<br>\$.01  | 7,699                                  |            | 7,699  | D   |                         |
| Stock<br>Option<br>(Right to<br>Buy) | \$6.03                             |            |                  |      |   |   |                       | (8)                 | 05/19/2027         | Common<br>Stock,<br>par value<br>\$.01  | 7,699                                  |            | 7,699  | D   |                         |

|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |  |   |   |   |   |     |  |                    |   |  |   |  |  |  |
|---|--|--|---|---|---|---|-----|--|--------------------|---|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code (Instr.<br>8) |   | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |  |  |   | Code                                    | v | (A)   | (D) | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   | Reported<br>Transaction(s)<br>(Instr. 4)                                       |  |  |
| Stock<br>Option<br>(Right to<br>Buy)                | \$12   |  |   |   |   |   |     | (8)  | 05/19/2027         | Common<br>Stock,<br>par value<br>\$.01  | 4,400                                  |   | 4,400  | D  |  |

## Explanation of Responses:

1. Mr. Katz disclaims beneficial ownership of the securities held by KF Investors LLC, an entity of which Mr. Katz is a managing member, except to the extent of his pecuniary interest therein.

2. Mr. Katz disclaims beneficial ownership of the securities held by Momar Corporation, an entity in which Mr. Katz has an ownership interest, except to the extent of his pecuniary interest therein.

3. Mr. Katz disclaims beneficial ownership of the securities held by United Equities Company, an entity of which Mr. Katz is a general partner, except to the extent of his pecuniary interest therein.

4. Mr. Katz disclaims beneficial ownership of the securities held by Marneu Holding Company, an entity of which Mr. Katz is a partner, except to the extent of his pecuniary interest therein.

5. Mr. Katz disclaims beneficial ownership of the securities held by 111 John Realty Corp., an entity in which Mr. Katz has an ownership interest, except to the extent of his pecuniary interest therein.

6. These restricted stock units convert into common stock on a one-for-one basis. Upon vesting on 1/8/2021, Mr. Katz deferred the receipt of 46,729 shares of common stock and received instead 46,729 shares of phantom stock pursuant to the terms of the Eastman Kodak Company Deferred Compensation Plan for Directors (the "Plan"). As a result, Mr. Katz is reporting the disposition of 46,729 shares of common stock in exchange for an equal number of shares of phantom stock under the Plan.

7. Each share of phantom stock represents a right to receive one share of common stock and becomes payable at the election of Mr. Katz in the year following the year of his separation from service as a director in either a single lump sum payment or in a maximum of ten annual installments.

8. This option has fully vested as of the date of this report.

Remarks:

<u>/s/ Roger W. Byrd, Attorney-in-</u> <u>fact for Philippe D. Katz</u> 01/12/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.