SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL	
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☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name **and** Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
O'Grady John G.	EASTMAN KODAK CO [KODK]	Director / 10% Owner / X Officer (give title below) / Other (specify below): GM, WW Sales, Vice President
(Last) (First) (Middle): EASTMAN KODAK COMPANY, 343 STATE STREET	3. Date of Earliest Transaction (Month/Day/Year): 09/03/2016	
(Street): ROCHESTER NY 14650 (City) (State) (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year): 09/07/2016	6. Individual or Joint/Group Filing (Check Applicable Line): X Form filed by One Reporting Person; Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	$0(1)	09/03/2016(1)		A(1)		11,233(1)		(1)	09/03/2019	Common Stock, par value	11,233(1)	$0	11,233(1)	D	
Stock Option (Right to Buy)	$15.58(2)	09/03/2016(2)		A(2)		29,712(2)		(2)	09/02/2023	Common Stock, par value $.01	29,712(2)	$0	29,712(2)	D	

Explanation of Responses:

1. Due to an administrative error, Mr. O'Grady reported an incorrect number of restricted stock units (9,307) on his 9/3/16 Form 4. This amendment corrects the number of restricted stock units granted to him on 9/3/16.

2. Mr. O'Grady previously reported this option on his 9/3/16 Form 4; however, at that time, the number of shares underlying the option could not be determined. Mr. O'Grady is filing this amendment to report the number of shares underlying the option and to correct the related footnote. The number of shares reported in this amendment is based on $175,000 (not $145,000 as previously reported) divided by the Black-Scholes valuation of the option on the grant date.

Remarks:

/s/ Sharon E. Underberg, Attorney-in-fact for John O'Grady — 09/30/2016

** Signature of Reporting Person — Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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