## SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPRO	VAL					
OMB Number: 3235-028						
Estimated average burde	en					
hours per response:	0.5					

1. Name and Address of Reporting Person*		1*	2. Issuer Name <b>and</b> Ticker or Trading Symbol EASTMAN KODAK CO [ KODK ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>CLARKE JEFF</u>				X	Director	10% Owner			
				x	Officer (give title	Other (specify			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		below)	below)			
EASTMAN KODAK COMPANY			05/12/2017	Chief Executive Officer					
343 STATE STR	EET								
			4. If Amendment, Date of Original Filed (Month/Day/Year)		idual or Joint/Group Filing	(Check Applicable			
(Street)				Line)					
ROCHESTER	NY	14650		X	Form filed by One Repor	ting Person			
					Form filed by More than Person	One Reporting			
(City)	(State)	(Zip)							

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock, par value \$.01	05/12/2017		Р		700	A	\$9.83	88,879	D		
Common Stock, par value \$.01	05/12/2017		Р		200	A	\$9.85	89,079	D		
Common Stock, par value \$.01	05/12/2017		Р		100	A	\$9.9	89,179	D		
Common Stock, par value \$.01	05/12/2017		Р		9,200	A	\$9.93	98,379	D		
Common Stock, par value \$.01	05/12/2017		Р		3,600	A	\$9.95	101,979	D		
Common Stock, par value \$.01	05/12/2017		Р		500	A	\$9.98	102,479	D		
Common Stock, par value \$.01	05/12/2017		Р		700	A	\$10	103,179	D		

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(3,,,,,,															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$27.2							(1)	03/11/2021	Common Stock, par value \$.01	114,943		114,943	D	
Stock Option (Right to Buy)	\$18.46							(2)	03/11/2022	Common Stock, par value \$.01	152,207		152,207	D	
Stock Option (Right to Buy)	\$10.19							(3)	03/11/2023	Common Stock, par value \$.01	229,358		229,358	D	
Stock Option (Right to Buy)	\$15							(4)	03/11/2024	Common Stock, par value \$.01	277,778		277,778	D	

Explanation of Responses:

1. Except as otherwise provided in the award notice or in Mr. Clarke's employment agreement, this option vests one-third on each of the first three anniversaries of the 3/12/2014 grant date.

2. Except as otherwise provided in the award notice or in Mr. Clarke's employment agreement, this option vests one-third on each of the first three anniversaries of the 3/12/2015 grant date.

3. Except as otherwise provided in the award notice or in Mr. Clarke's employment agreement, this option vests one-third on each of the first three anniversaries of the 3/12/2016 grant date.

4. Except as otherwise provided in the award notice or in Mr. Clarke's employment agreement, this option vests one-third on each of March 12, 2018, March 12, 2019 and March 12, 2020.

**Remarks:** 

/s/ Sharon E. Underberg, Attorney-in-fact for Jeffrey J. **Clarke** \*\* Signature of Reporting Person

05/16/2017

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.