SEC Form 4

Units⁽⁸⁾

Restricted Stock Units

Restricted Stock Units

Restricted Stock

Units⁽¹¹⁾

(6)

(6)

(6)

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287	
Estimated average burden		
hours per response:	0.5	

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>PEREZ ANTONIO M</u>					2. Issuer Name and Ticker or Trading Symbol <u>EASTMAN KODAK CO</u> [EK]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner										
															Officer (e	give title		10% Ov Other (s					
(Last) 343 STA	(I TE STREE	3. Date of Earliest Transaction (Month/Day/Year) 05/13/2011										X Onder (give due Other (specify below) below) President, Chairman & CEO											
(Street) ROCHE	4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person												
(City)	(\$	State)											Form file	d by Mor	e than (Dne Reporti	ing Person						
			Table I - Nor	n-Deriva	ative	Secu	uritie	s Acqı	uired	, Disp	osed	of, or E	Benef	icially (Dwned								
			Date	ransaction e nth/Day/Year)		Execution Date, if any		Execution Date, Transaction Dispose if any Code (Instr.		curities Acquired (A) or sed Of (D) (Instr. 3, 4 and 5)			Beneficially Owned Following		Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership						
						Ĺ			Code	V Amou		unt (A) or Pr		Price	rice Reported Transaction (Instr. 3 au				(Instr. 4)				
Common	on Stock 05/1			05/13	/2011				Р		26,	667	A	\$ <mark>3</mark>	\$3 203,921			D					
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	2. 3. Transaction Conversion Date Secution Date Execution Date, Price of Derivative (Month/Day/Year) (Month/Day/Year)		ned 4. n Date, Transa Code		5. Nu of Deriv Secu Acqu (A) o	umber vative urities uired or	6. Date Expirati (Month/	Exercision Date	sable ar		7. Title ar Securitie Derivativ 3 and 4)	nd Amou s Under	Int of lying	8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securiti Benefic Owned Followir Reporte	ve es ially ng	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)				
						of (D	r. 3, 4										d tion(s))						
				Code	v	(A)	(D)	Date Exercisa	able	Expira Date	tion	Title	Amou Numb Share	er of									
Option (right to buy)	\$31.71							(2)	·	12/09	/2011	Common Stock	90),130		90,130		D					
Option (right to buy)	\$26.47							(3)		05/31	/2012	Common Stock	30	0,000		300,000		300,000		D			
Option (right to buy) ⁽⁵⁾	\$24.75							(3)		12/06	/2012	Common Stock	13	5,000		135,000		135,000		135,000		D	
Option (right to buy)	\$30.96							(1)		04/01	/2013	common stock	50	0,000		500,000		500,000		D			
Option (right to buy) ⁽⁵⁾	\$25.88							(3)		12/11	/2013	Common Stock	31	4,530		314,530		314,530		314,53		D	
Option (right to buy) ⁽⁵⁾	\$23.28							(3)		12/10	/2014	Common Stock	39	7,460		397,460		D					
Option (right to buy) ⁽⁵⁾	\$7.41							(3)		12/08	/2015	Common Stock	75	1,540		751,540		D					
Option (right to buy)	\$4.54							(4)		10/13	/2016	Common Stock	50	0,000		500,0	000	D					
Option (right to buy)	\$3.4							(3)		02/27	//2018	Common Stock	70	5,732		705,	732	D					
Stock Units	(6)							(7)		(7)	Common Stock	18,	539.42		18,53	9.42	D					
Stock Units	(6)							(7)		0	7)	Common Stock	56,	886.41		56,88	6.41	D					
Stock	(6)							(7)		(7)	Common	19,3	70.2903		19,370	.2903	D					

Stock

Common

Stock

Common

Stock

Common

Stock

12/31/2011⁽⁹⁾

(10)

12/31/2011⁽⁹⁾

12/31/2011⁽⁹⁾

(10)

12/31/2011⁽⁹⁾

95,120

1,048,370

159,359.293

95,120

1,048,370

159,359.293

D

D

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)						Securities	d Amount of Underlying Security (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Amount or Number of Shares					
Restricted Stock Units	(6)							(12)	(12)	Common Stock	399,655		399,655	D	
Restricted Stock Units	(6)							12/31/2013 ⁽⁹⁾	12/31/2013 ⁽⁹⁾	Common Stock	248,009		248,009	D	

Explanation of Responses:

1. Employee stock option granted under the 1997 Stock Option Plan in a transaction exempt under Rule 16b-3. One-half of the options vest on the second anniversary of the date of grant; the balance vest on the fifth anniversary.

2. These options have vested.

3. These options vest one-third on each of the first three anniversaries of the date of grant.

4. One third vests on the 2nd anniversary of the grant date; one third vests on 3rd anniversary of the grant date; remaining third vests on the 4th anniversary of the grant date.

5. Stock option granted under the 2005 Omnibus Long-Term Compensaton Plan.

6. These units convert on a one-to-one basis.

7. This date is not applicable to these units.

8. These units granted under the 2000 Omnibus Long-Term Compensation Plan; Leadership Stock Program, 2004-2005 cycle.

9. This is the date these restricted stock units will vest.

10. These units vest 50% on both the 3rd and 4th anniversary of the grant date.

11. These are units earned under the Company's Leadership Stock Program for the 2009 performance cycle.

12. These units vest one-third on each of the first three anniversaries of the date of grant.

Remarks:

Patrick M. Sheller, as attorneyin-fact for Antonio M. Perez

Date

05/17/2011

** Signature of Reporting Person Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.