SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1		ss of Reportin	g Person [*]	2. Issuer Name and Ticker or Trading Symbol EASTMAN KODAK CO [KODK]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Janitz J		<u>L.</u>			X	Director	10% Owner				
				_	Officer (give title	Other (specify					
(Last)		(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		below)	below)				
C/O EAS	STMAN	I KODAK C	COMPANY	01/01/2017							
343 STA	TE STE	REET									
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filing (Check Applicable						
(Street)					X	Form filed by One Re	eporting Person				
ROCHE	STER	NY	14650			Form filed by More th Person	an One Reporting				
(City)		(State)	(Zip)								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(moth 4)
Common Stock, par value \$.01	01/01/2017		М		2,321	Α	\$0 ⁽¹⁾	17,031	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		on Derivative		6. Date Exerc Expiration Da (Month/Day/\	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units	\$0 ⁽¹⁾	01/01/2017		М			2,321 ⁽¹⁾	01/01/2017	01/01/2017	Common Stock, par value \$.01	2,321	\$0	0	D	
Restricted Stock Units	\$0 ⁽²⁾							(2)	(2)	Common Stock, par value \$.01	14,327		14,327	D	

Explanation of Responses:

1. These restricted stock units convert into common stock on a one-for-one basis.

2. These restricted stock units, which convert into common stock on a one-for-one basis, were granted under the Company's 2013 Omnibus Incentive Plan and, except as otherwise provided in the award notice, vest on 1/8/2017 (due to an administrative error, previously reported as 1/1/2017), subject to continuous service as a member of the board of directors.

Remarks:

<u>/s/ Sharon E. Underberg,</u> <u>Attorney-in-fact for John A.</u>

Janitz

01/04/2017

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date