SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subj	ect to
Section 16. Form 4 or Form 5	00110
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: 3235-028									
Estimated average burden									
hours per response	: 0.5								

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Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Ex or Section 30(h) of the Investment Company														34			ated av	verage burde sponse:	n 0.5		
1. Name and Address of Reporting Person* <u>CONTINENZA JAMES V</u>						2. Issuer Name and Ticker or Trading Symbol EASTMAN KODAK CO [KODK]										elationship o ck all applic Director	able) r	g Pers	10% Ov	vner	
(Last) (First) (Middle) C/O EASTMAN KODAK COMPANY 343 STATE STREET					Date 3/13/		est Tr	ansad	ction (Mo	onth/E)ay/Yea		X Officer (give title Other (specify below) below) Executive Chairman				specity				
(Street) ROCHES		Y State)	14650 (Zip)		_ 4.	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. In Line) 2				orting Perso	rson	
(City)	(,		Dori	Vativ	10 E	oouri	tion	A	uirod	Dic	20000	l of or	Pon	oficially	Ownod					
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)			2A. Deemed Execution Date			e, 3. Transaction Code (Instr.		4. Sec	Desced of, or Benefic Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			5. Amour Securitie Beneficia Owned F	s Illy ollowing	Form (D) or	: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership		
										Code	v	Amou		A) or D)	Price	Reported Transacti (Instr. 3 a	on(s)			(Instr. 4)	
Common	Stock, par	value \$.01		08/13	<mark>3/20</mark> 1	19				Р		20,	000	Α	\$2.12(1) 20,	000	D			
Common	Stock, par	value \$.01		08/14	4/201	19				Р		10,0	000	A	\$2 ⁽²⁾	30,000		000 D			
Common	Common Stock, par value \$.01 08/15.				5/20 1	5/2019				Р	70,0		,000 A \$2		\$2.04 ⁽³	4 ⁽³⁾ 100,000			D		
			Table II -						-	-	-		of, or B rtible s		-	Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate, T	4. Transaction Code (Instr. 8)				6. Date Exercisa Expiration Date (Month/Day/Yea			e and	7. Title a Securiti Derivati (Instr. 3	es Unc ve Sec	derlying urity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transactior (Instr. 4)	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				c	Code	v	(A)	(D)	Date Exe	e rcisable	Exp Dat	iration e	Title	Nu	nount or mber of ares						
Restricted Stock Units	\$0 ⁽⁴⁾									(4)		(4)	Common Stock, par value \$.01		38,029		88,02	29	D		
Stock Option (Right to Buy)	\$3.03									(5)	02/ 1	19/2026	Common Stock, par value \$.01	11	150,000		1,150,0	000	D		

Explanation of Responses:

\$4 53

\$6.03

\$<mark>12</mark>

\$0⁽⁶⁾

Stock Option

Buy)

Stock

Buy) Stock

Option (Right to

Option (Right to

Phantom

Stock

Buy)

(Right to

1. The price reported is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$2.09 to \$2.14, inclusive. Mr. Continenza undertakes to provide to Eastman Kodak Company, any security holder of Eastman Kodak Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(5)

(5)

(5)

(6)

Common Stock,

par value

\$ 01

Common

Stock,

par value \$.01

Common

Stock,

par value

\$.01 Commor

Stock.

par value \$.01

350,000

350,000

200,000

153,560

350 000

350,000

200,000

153,560

D

D

D

D

02/19/2026

02/19/2026

02/19/2026

(6)

2. The price reported is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$1.97 to \$2.01, inclusive. Mr. Continenza undertakes to provide to Eastman Kodak Company, any security holder of Eastman Kodak Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

3. The price reported is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$1.99 to \$2.08, inclusive. Mr. Continenza undertakes to provide to Eastman Kodak Company, any security holder of Eastman Kodak Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote

4. These restricted stock units convert into common stock on a one-for-one basis and, except as otherwise provided in the award notice, vest on January 8, 2020, subject to continuous service as a member of the board of directors.

5. This option was granted pursuant to Mr. Continenza's employment agreement, effective as of February 20, 2019, under the Company's 2013 Omnibus Incentive Plan, as amended, in a transaction exempt under Rule 16b-3. Except as otherwise provided in the award notice or the employment agreement, this option vests immediately with respect to one-half of the grant, with the remainder vesting in four equal installments on each of May 20, 2019, August 20, 2019, November 20, 2019 and February 20, 2020.

6. Each share of phantom stock represents a right to receive one share of common stock and becomes payable at the election of Mr. Continenza in the year following the year of his separation from service as a director in either a single lump sum payment or in a maximum of ten annual installments.

Remarks:

/s/ Roger W. Byrd, Attorney-in-08/15/2019 fact for James V. Continenza Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

The undersigned, James V. Continenza, hereby appoints each of Roger W. Byrd and Kim Zampatori, individually, his attorney-in-fact to:

(1) execute for and on behalf of the undersigned, in the undersigned's capacity as an Officer and Director of Eastman Kodak Company (the "Company"), Forms 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder, as amended from time to time (the "Exchange Act"), and any other forms or reports the undersigned may be required to file in connection with the undersigned's ownership, acquisition or disposition of securities of the Company;

(2) execute for and on behalf of the undersigned, in the undersigned's capacity as an Officer and Director of the Company, Form 144 in accordance with the Securities Act of 1933 and the rules thereunder, as amended from time to time (the "Securities Act");

(3) perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 4 or 5, or Form 144 and timely file such form with the SEC and any stock exchange or similar authority; and

(4) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in his or her discretion.

The undersigned hereby grants to each attorney-in-fact full power and authority to do anything that is necessary or desirable in the exercise of any of the rights and powers herein granted, as fully and to all intents and purposes as the undersigned could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted. The undersigned acknowledges that each attorney-in-fact, in serving in such capacity at the request of the undersigned, is not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act or Rule 144 under the Securities Act.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file forms under Section 16(a) of the Exchange Act and Form 144 under the Securities Act with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 24th day of April 2019.

/s/ James V. Continenza

James V. Continenza